

Applicant or Patentee: Yuan-Ping Pang

Serial or Patent No.: 09/595,650

Filed or Issued: June 16, 2000

For: MOLECULAR MODELING FOR METALLOPROTEINS

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) and 1.27(d)) — NONPROFIT ORGANIZATION

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

Name of Organization: Mayo Foundation for Medical Education and Research

Address of Organization: 220 First Street SW, Rochester, MN 55905

Type of Organization:

- ☐ University or Other Institution of Higher Education
- ☒ Tax Exempt Under Internal Revenue Service Code (26 USC 501(a) and 501(c)(3))
- ☐ Nonprofit Scientific or Educational Under Statute of State of the United States of America
 (Name of State: _____) (Citation of Statute: _____)
- ☐ Would qualify as tax exempt under Internal Revenue Service Code (26 USC 501(a) and 501(c)(3)) if located in the United States of America
- ☐ Would qualify as nonprofit scientific or educational under Statute of State of the United States of America if located in the United States of America
 (Name of State: _____) (Citation of Statute: _____)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code with regard to the invention entitled MOLECULAR MODELING FOR METALLOPROTEINS by inventor(s) YUAN-PING PANG described in:

- ☐ the specification filed herewith.
- ☒ application serial no. 09/595,650, filed June 16, 2000.
- ☐ patent no. , issued .

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above identified invention.

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(c) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

Full Name: _____

Address: _____

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status when any new rule 53 application is filed or prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

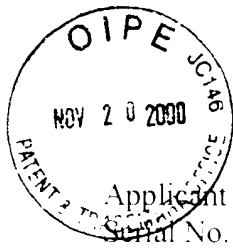
Name:	Rick F. Colvin
Title:	Assistant Treasurer
Address:	200 First Street SW, Rochester, MN 55905

Signature: _____

Date: _____

10/16/2000

Section #



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Yuan-Ping Pang

Art Unit : 1645

Serial No. : 09-595,650

Examiner : Unknown

Filed : June 16, 2000

Title : MOLECULAR MODELING FOR METALLOPROTEINS

BOX MISSING PARTS

Commissioner for Patents

Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application under 37 CFR §1.53(b) mailed September 20, 2000 (copy enclosed), applicant as a small entity submits herewith the following:

Payment of the basic filing fee of \$355;

Payment of the additional/multiple dependent claims fees of \$306;

Payment of \$65 surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application;

A Verified Statement Claiming Small Entity Status;

Combined Declaration and Power of Attorney in compliance with 37 CFR §1.63;

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

November 15, 2000
Date of Deposit

Patricia Kabayo
Signature

PATRICIA KABAYO
Typed or Printed Name of Person Signing Certificate

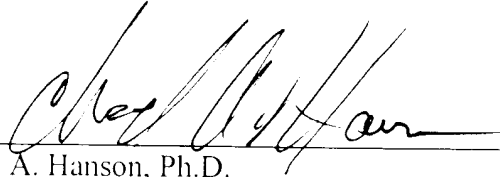
Applicant : Yuan-Ping Pang
Serial No. : 09 595,650
Filed : June 16, 2000
Page : 2

Attorney's Docket No.: 07039-161001

Respectfully submitted,

Date: _____

11/15/00



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60025505.doc



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/595,650	06/16/2000	Yuan-Ping Pang	07039-161001

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FORMALITIES LETTER



OC000000005412223

Date Mailed: 09/20/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

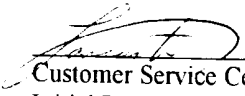
FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$612.
 - \$612 for 34 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1432.

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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11/24/2000 SSESHE1 00000012 09595650
01 FC:201 355.00
02 FC:203 306.00
03 FC:205 65.00

9/19/00